

Form No. MGT-9

## Extract of Annual Return

as on the financial year ended on 31st March, 2017

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

### I. REGISTRATION AND OTHER DETAILS:

1	CIN	L24110GJ1939PLC000748
2	Registration Date	28th January, 1939
3	Name of the Company	DCW Limited
4	Category/Sub-Category of the Company	Inorganic / Petro Chemicals
5	Whether listed Company (Yes/No)	Yes
6	Name, Address and Contact details of Registrar and Transfer Agent, if any	Bigshare Services Limited, 1st floor, Bharat Tinworks Building, Opp. Vasant Oasis, Makwana Road, Marol, Andheri (East), Mumbai - 400 059 Tel.: 022 – 626368200, Fax No.: 62638299. E-mail : investor@bigshareonline.com

### II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated :-

Sl. No.	Name and Description of main products / services	NIC Code of the Product / service	% to total turnover of the Company
1	Caustic Soda	20122	31.56
2	Soda Ash	20122	14.88
3	PVC Resin	24134	50.55

### III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES – NIL

**I. SHARE HOLDING PATTERN (EQUITY SHARE CAPITAL BREAK-UP AS PERCENTAGE OF TOTAL EQUITY)**
**i) Category-wise Share Holding**

Category of Shareholders	No. of shares held at the beginning of the year 01.04.2017				No. of shares held at the end of the year 31.03.2018				% Change during the year
	Demat	Physical	Total	% of total shares	Demat	Physical	Total	% of total shares	
<b>A. Promoters</b>									
<b>(1) Indian</b>									
(a) Individual/HUF	47,850,150	-	47,850,150	21.78	48,547,990	-	48,547,990	21.97	0.19
(b) Central Govt.	-	-	-	0.00	-	-	-	0	0.00
(c) State Govt(s)	-	-	-	0.00	-	-	-	0	0.00
(d) Bodies Corp.	56,236,740	-	56,236,740	25.60	56,236,740	-	56,236,740	25.45	(0.15)
(e) Banks/FI	-	-	-	0.00	-	-	-	0	0.00
(f) Any other	-	-	-	0.00	-	-	-	0	0.00
<b>Sub-total (A)(1):-</b>	<b>104,086,890</b>	<b>-</b>	<b>104,086,890</b>	<b>47.38</b>	<b>104,784,730</b>	<b>-</b>	<b>104,784,730</b>	<b>47.42</b>	<b>(47.38)</b>
<b>(2) Foreign</b>									
(a) NRIs -Individual	-	-	-	0.00	-	-	-	0	0.00
(b) Other-Individual	-	-	-	0.00	-	-	-	0	0.00
(c) Bodies Corp.	-	-	-	0.00	-	-	-	0	0.00
(d) Banks/FI	-	-	-	0.00	-	-	-	0	0.00
(e) Any Other.....	-	-	-	0.00	-	-	-	0	0.00
<b>Sub-total (A) (2):-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.00</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.00</b>	<b>0.00</b>
<b>Total Shareholding of Promoter (A) = (A)(1)+ (A)(2)</b>	<b>104,086,890</b>	<b>-</b>	<b>104,086,890</b>	<b>47.38</b>	<b>104,784,730</b>	<b>-</b>	<b>104,784,730</b>	<b>47.42</b>	<b>0.04</b>
<b>B. Public shareholding</b>									
<b>1. Institutions</b>									
(a) Mutual Funds	10,755	10,500	21,255	0.01	10,755	10,500	21,255	0.01	(0.00)
(b) Banks/FI	606,640	18,990	625,630	0.28	1,060,031	12,870	1,072,901	0.49	0.20
(c) Central Govt.	-	-	-	0.00	-	-	-	0	0.00
(d) State Govt.(s)	-	-	-	0.00	-	-	-	0	0.00
(e) Venture Capital Funds	-	-	-	0.00	-	-	-	0	0.00
(f) Insurance Companies	6,679,665	-	6,679,665	3.04	6,679,665	-	6,679,665	3.02	(0.02)
(g) FIs	36,120	-	36,120	0.02	11,500	-	11,500	0.01	(0.01)
(h) Foreign Venture Capital Funds	-	-	-	0.00	-	-	-	0.00	0.00
(i) Others (specify)	-	-	-	0.00	-	-	-	0.00	0.00
(j) Foreign Portfolio Investor	12,852,877	-	12,852,877	5.85	13,061,509	-	13,061,509	5.91	0.06
Foreign Banks	-	42,225	42,225	0.02	-	40,865	40,865	0.02	(0.00)
<b>Sub-total (B) (1):-</b>	<b>20,186,057</b>	<b>71,715</b>	<b>20,257,772</b>	<b>9.22</b>	<b>20,823,460</b>	<b>64,235</b>	<b>20,887,695</b>	<b>9.45</b>	<b>0.23</b>

Category of Shareholders	No. of shares held at the beginning of the year 01.04.2017				No. of shares held at the end of the year 31.03.2018				% Change during the year
	Demat	Physical	Total	% of total shares	Demat	Physical	Total	% of total shares	
<b>2. Non-Institutions</b>								<b>0.00</b>	<b>0.00</b>
(a) Bodies Corp.									
(i) Indian	-	-	-	0.00	-	-	-	0.00	0.00
(ii) Overseas	-	-	-	0.00	-	-	-	0.00	0.00
(b) Individuals	-	-	-	0.00	-	-	-	0.00	0.00
(i) Individual shareholders holding nominal share capital upto ₹ 1 lakh.	54,230,783	3,758,741	57,989,524	26.40	55,226,510	3,526,966	58,753,476	26.59	0.19
(ii) Individual shareholders holding nominal share capital in excess of ₹ 1 lakh.	15,545,180	-	15,545,180	7.08	18,215,965	98,250	18,314,215	8.29	1.21
(c) Others (specify)									
NBFC Registered with RBI	143,424	-	143,424		184,168	-	184,168	0.08	0.08
Bodies Corporate	12,340,702	65,375	12,406,077	5.65	14,979,954	65,375	15,045,329	6.81	1.16
Clearing Member	1,890,891	-	1,890,891	0.86	1,505,557	-	1,505,557	0.68	(0.18)
NRI	1,566,289	22,210	1,588,499	0.72	1,477,827	22,210	1,500,037	0.68	(0.04)
OCBs	5,753,250	-	5,753,250	2.62	-	-	-	0.00	(2.62)
Trust	19,900	-	19,900	0.01	12,200	-	12,200	0.01	(0.00)
<b>Sub-total (B) (2):-</b>	<b>91,490,419</b>	<b>3,846,326</b>	<b>95,336,745</b>	<b>43.40</b>	<b>91,602,181</b>	<b>3,712,801</b>	<b>95,314,982</b>	<b>43.13</b>	<b>(0.27)</b>
<b>Total Public shareholding (B) = (B) (1) + (B)(2)</b>	<b>111,676,476</b>	<b>3,918,041</b>	<b>115,594,517</b>	<b>52.62</b>	<b>112,425,641</b>	<b>3,777,036</b>	<b>116,202,677</b>	<b>52.58</b>	<b>(0.04)</b>
<b>C. Shares held by Custodian for GDRs &amp; ADRs.</b>	-	-	-	<b>0.00</b>	-	-	-	<b>0.00</b>	<b>0.00</b>
<b>Grand Total (A + B + C)</b>	<b>215,763,366</b>	<b>3,918,041</b>	<b>219,681,407</b>	<b>100.00</b>	<b>217,210,371</b>	<b>3,777,036</b>	<b>220,987,407</b>	<b>100.00</b>	<b>0.00</b>

**ii. Shareholding of Promoters**

Sl. No.	Shareholder's Name	Shareholding at the beginning of the year				Share Holding at the end of the year				% change in shareholding during the year
		Demat	Physical	Total	% of total shares	Demat	Physical	Total	% of total shares	
1	SAHU BROTHERS PRIVATE LIMITED	52459860	0	52459860	23.88	52459860	0	52459860	23.74	-0.14
2	VANDANA JAIN	9467206	0	9467206	4.31	9467206	0	9467206	4.28	-0.03
3	VIVEK JAIN	6643931	0	6643931	3.02	7196931	0	7196931	3.26	0.23
4	USHA P JAIN	4358222	0	4358222	1.98	9433690	0	9433690	4.27	2.28
5	ASHISH JAIN	3611318	0	3611318	1.64	3611318	0	3611318	1.63	-0.01
6	MUDIT JAIN	1301498	0	1301498	0.59	1301498	0	1301498	0.59	0.00
7	PRAMOD KUMAR JAIN	7971968	0	7971968	3.63	1196500	0	1196500	0.54	-3.09
8	DURGAVATI JAIN	3241991	0	3241991	1.48	3241991	0	3241991	1.47	-0.01
10	PREMCHAND JAIN	1920540	0	1920540	0.87	1920540	0	1920540	0.87	-0.01
11	NAMITA P JAIN	1361945	0	1361945	0.62	1361945	0	1361945	0.62	0.00
13	M/S DHRANGADHRA TRADING CO PVT LTD	1280500	0	1280500	0.58	1280500	0	1280500	0.58	0.00
14	SAATVIK JAIN	1618018	0	1618018	0.74	1366018	0	1366018	0.62	-0.12
15	VARSHA JAIN	1144580	0	1144580	0.52	1004579	0	1004579	0.45	-0.07
16	CRESCENT HOLDINGS AND ENTERPRISES PVT LTD	1137000	0	1137000	0.52	1137000	0	1137000	0.51	0.00
18	SAHU CYLINDERS & UDYOG PVT LTD	1103250	0	1103250	0.50	1103250	0	1103250	0.50	0.00
19	BAKUL JAIN	2268972	0	2268972	1.03	2921972	0	2921972	1.32	0.29
20	BAKUL JAIN HUF	170820	0	170820	0.08	170820	0	170820	0.08	0.00
21	SONALIKA JAIN	871941	0	871941	0.40	1050100	0	1050100	0.48	0.08
22	MEETA JAIN	778720	0	778720	0.35	878720	0	878720	0.40	0.04
24	BHARATI JAIN	24250	0	24250	0.01	0	0	0	0.00	-0.01
25	PAULOMI BAKUL JAIN	480085	0	480085	0.22	480085	0	480085	0.22	0.00
26	NEERA JAIN	481	0	481	0.00	481	0	481	0.00	0.00
29	NITISH JAIN	101568	0	101568	0.05	0	0	0	0.00	-0.05
31	SATYAWATI GIANCHAND JAIN	109000	0	109000	0.05	109000	0	109000	0.05	0.00
33	FLORIDA HOLDINGS AND TRADING PVT LTD	185450	0	185450	0.08	185450	0	185450	0.08	0.00
34	RIMA SAATVIK JAIN	59511	0	59511	0.03	11	0	11	0.00	-0.03
35	MALTI BHINDI	50000	0	50000	0.02	50000	0	50000	0.02	0.00
36	SAMARTH JAIN	34000	0	34000	0.02	0	0	0	0.00	-0.02
37	KALPATARU BOTANICAL GARDENS PRIVATE LIMITED	22500	0	22500	0.01	22500	0	22500	0.01	0.00
39	CASHCO HOLDINGS PRIVATE LIMITED	17750	0	17750	0.01	17750	0	17750	0.01	0.00
40	B J HOLDINGS PRIVATE LIMITED	16000	0	16000	0.01	16000	0	16000	0.01	0.00
41	D P B HOLDINGS PRIVATE LIMITED	13500	0	13500	0.01	13500	0	13500	0.01	0.00
42	SHIVANTIKA JAIN	4835	0	4835	0.00	4835	0	4835	0.00	0.00
43	CANVAS SHOE CO. (GOA) PRIVATE LTD.	830	0	830	0.00	830	0	830	0.00	0.00
44	VIKRANT HOLDINGS AND TRADING PVT LTD	100	0	100	0.00	100	0	100	0.00	0.00
45	PRAMOD KUMAR JAIN TRUST BB	0	0	0	0.00	1700000	0	1700000	0.77	0.77
46	ASHISH JAIN HUF	254750	0	254750	0.12	79750	0	79750	0.04	-0.08
		<b>104086890</b>		<b>104086890</b>	<b>47.38</b>	<b>104784730</b>		<b>104784730</b>	<b>47.42</b>	<b>0.04</b>

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

Sl. No.	Name of the Promoter	At the beginning of the year date wise Increase/ Decrease in Promoters share holding during the year specifying the reasons for increase/ decrease (e.g. allotment / transfer / bonus / sweat equity etc. At the end of the year					Cumulative Shareholding during the year	
		Beginning of the year	%of total shares of the Company	Increase/ Decrease	Date	Reason	No. of share	%of total shares of the Company
1	Pramod Kumar Jain	7,971,968	3.63	(50,75,468)	22/2/2018	Gift	1,196,500	0.54
				(17,00,000)	22/2/2018	Gift		
2	Usha P. Jain	4,358,222	1.98	50,75,468	22/2/2018	Gift	9,433,690	4.27
3	Pramod Kumar Jain Trust BB	-	0.00	17,00,000	22/2/2018	Gift	17,00,000	0.77
4	Ashish Jain HUF	254,750	0.12	(104,750)	27/10/2017	Sale	79,750	0.44
				(70,250)	31/10/2017			
5	Vivek Jain	6,643,931	3.02	5,53,000	22/5/2017	Conversion of warrants into equity shares	7,196,931	3.26
6	Meeta Jain	778,720	0.35	1,00,000	22/5/2017	Conversion of warrants into equity shares	878,720	0.40
7	Bakul Jain	2,268,972	1.03	6,53,000	4/5/2017	Conversion of warrants into equity shares	2,921,972	1.32
8	Saatvik Jain	1,618,018	0.74	(252,000)	31/10/2017	Sale	1,366,018	0.62
9	Varsha Jain	1,144,580	0.52	(10,000)	19/12/2017	Sale	1,004,579	0.45
				(20,001)	20/12/2017	Sale		
				(40,000)	21/12/2017	Sale		
				(30,000)	22/12/2017	Sale		
				(20,000)	26/12/2017	Sale		
				(10,000)	27/12/2017	Sale		
				(10,000)	5/1/2018	Sale		
10	Sonalika Jain	871,941	0.40	10,256	16/11/2017	Buy	1,050,100	0.48
				40,000	17/11/2017	Buy		
				25,000	21/11/2017	Buy		
				75,000	22/11/2017	Buy		
				27,903	23/11/2017	Buy		
11	Bharti Jain	24,250	0.01	(24,250)	17/5/2017	Sale	-	0.00
12	Nitish Jain	101,568	0.05	(101,568)	26/10/2017	Sale	-	0.00
13	Rima Jain	59,511	0.03	(59,500)	31/10/2017	Sale	11	0.00
14	Samarth Jain	34,000	0.02	(34,000)	16/10/2017	Sale	-	0.00

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sl. No.	Name	Shareholding at the beginning of the year 01-04-2017		Cumulative Shareholding end of the year 31-03-2018	
		No. of share	% of total shares of the Company	No. of share	% of total shares of the Company
1	APMS INVESTMENT FUND LTD	9,805,000	4.46	9,805,000	4.44
2	LIFE INSURANCE CORPORATION OF INDIA	6,679,665	3.04	6,679,665	3.02
3	LOTUS GLOBAL INVESTMENTS LTD	3,047,877	1.39	3,047,877	1.38
4	RAJENDRA KUMAR HIRAWAT	1,571,348	0.72	1,411,348	0.64
5	GNANESH VIRENDRA LAKHIA	1,349,037	0.61	1,393,836	0.63
6	PREM LATA HIRAWAT	1,074,133	0.49	974,133	0.44
7	IL AND FS SECURITIES SERVICES LIMITED	524,187	0.24	918,870	0.42
8	MUKUL MAHAVIR PRASAD AGRAWAL	-	0.00	900,000	0.41
9	FOUR DIMENSIONS SECURITIES (INDIA) LIMITED	1,382,000	0.63	770,000	0.35
10	INDIANIVESH SECURITIES LIMITED	12,169	0.01	719,054	0.33

(V) Shareholding of Directors and Key Managerial Personnel :

Sl. No.	Name of the Director and Key Managerial Person	At the beginning of the year date wise Increase/ Decrease in Promoters share holding during the year specifying the reasons for increase/ decrease (e.g. allotment / transfer / bonus / sweat equity etc. At the end of the year					Cumulative Shareholding during the year	
		No. of share at the beginning of the year	% of total shares of the Company	Increase/ Decrease	Date	Reason	No. of share	% of total shares of the Company
1	Mr. Pramod Kumar Jain	7,971,968	3.63	(50,75,468)	22/2/2018	Gift	1,196,500	0.54
				(17,00,000)	22/2/2018	Gift		
2	Mr. Bakul Jain	2,268,972	1.03	6,53,000	4/5/2017	Conversion of warrants into equity shares	2,921,972	1.32
3	Shri. Mudit Jain	1,301,498	0.59	---	---	---	1,301,498	0.59
4	Vivek Jain	6,643,931	3.02	5,53,000	22/5/2017	Conversion of warrants into equity shares	7,196,931	3.26
5	Mr. Sodhsal Singh Dev of Dhrangadhra*	55,000	0.00	0	---	---	55,000	0.03
6	Mr. Pradip Madhavji**	0	0.00	0	---	---	0	0.00
7	Mr. D. Ganapathy	0	0	0	---	---	0	0
8	Ms. Sujata Ragnekar	0	0	0	---	---	0	0
9	Mr. Salil Kapoor	0	0	0	---	---	0	0
11	Mr. Vimal Jain	0	0	0	---	---	0	0
12	Mr. Jigna Karnick	0	0	0	---	---	0	0

\*Shri Sodhsal Singh Dev of Dhrangadhra is holding 55,000 Equity Shares of the Company. He passed away on 17/09/2017

\*\*Shri. Pradip Madavji was appointed as an Additional Director on 13th November, 2017

## VI INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	73,052.35	6,412.25		79,464.60
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
<b>Total (i + ii + iii)</b>	<b>73,052.35</b>	<b>6,412.25</b>	<b>-</b>	<b>79,464.60</b>
<b>Change in Indebtedness during the financial year</b>				
- Addition	12,331.64	1,918.00	-	14,249.64
- Reduction	9,840.68	811.59	-	10,652.27
Net Change	2,490.96	1,106.41	-	3,597.37
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	75,543.31	7,518.66	-	83,061.97
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	623.91	-	-	-
<b>Total (i + ii + iii)</b>	<b>76,167.22</b>	<b>7,518.66</b>	<b>-</b>	<b>83,061.97</b>

## VII. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL-

### A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sl. No.	Particulars of Remuneration	Name of MD/WTD/ Manager				Total Amount
		Shri. Pramod Kumar Jain	Shri. Bakul Jain	Shri. Vivek Jain	Shri. Mudit Jain	
1	Gross salary					
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	1,760,868	1,760,868	1,760,868	1,760,868	7,043,472
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	39,600	39,600	39,600	39,600	158,400
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-	-	-	-
2	Stock Option	-	-	-	-	-
3	Sweat Equity	-	-	-	-	-
4	Commission	-	-	-	-	-
	- as % of profit					
	- others, specify...					
5	Others, please specify	-	-	-	-	-
	Total (A)	1,800,468	1,800,468	1,800,468	1,800,468	7,201,872
	Ceiling as per the Act					

## B. Remuneration to other directors

Sl. No.	Particulars of Remuneration	Name of Directors					Total Amount
		Shri. Sodhsal Singh Dev of Dhrangadhra	Shri. D Ganapathy	Smt. Sujata Ranganeekar	Shri. Salil Kapoor	Shri. Pradip Madhavji	
1	Independent Directors						
	Fee for attending board committee meetings	40,000	80,000	70,000	20,000	25,000	235,000
	Commission	-	-	-	-	-	-
	Others, please specify	-	-	-	-	-	-
	Total (1)	40,000	80,000	70,000	20,000	25,000	235,000
2	Other Non-Executive Directors	-	-	-	-	-	-
	Fee for attending board committee meetings	-	-	-	-	-	-
	Commission	-	-	-	-	-	-
	Others, please specify	-	-	-	-	-	-
	Total (2)	-	-	-	-	-	-
	Total (B)=(1 + 2)	40,000	80,000	70,000	20,000	25,000	235,000
	Total Managerial Remuneration	-	-	-	-	-	-
	Overall Ceiling as per the Act						

## C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

Sl. No.	Particulars of Remuneration	Key Managerial Personnel			
		CEO	CS	CFO	Total
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	1,107,160	4,738,890	5,846,050
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	589,356	589,356
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-	-
2	Stock Option	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission	-	-	-	-
	- as % of profit	-	-	-	-
	others, specify...	-	-	-	-
5	Others, please specify	-	-	-	-
	<b>Total</b>	<b>0</b>	<b>1107160</b>	<b>5328246</b>	<b>6435406</b>





## VIII PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority (RD/NCLT/ Court)	Appeal made if any (give details)
A. COMPANY					
Penalty			NIL		
Punishment					
Compounding					
B. DIRECTORS					
Penalty			NIL		
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty			NIL		
Punishment					
Compounding					