

Form No. MGT-9

Extract of Annual Return

as on the financial year ended on 31st March, 2019

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

1	CIN	L24110GJ1939PLC000748
2	Registration Date	28th January, 1939
3	Name of the Company	DCW Limited
4	Category/Sub-Category of the Company	Inorganic / Petro Chemicals
5	Whether listed Company (Yes/No)	Yes
6	Name, Address and Contact details of Registrar and Transfer Agent, if any	Bigshare Services Limited, 1st floor, Bharat Tinworks Building, Opp. Vasant Oasis, Makwana Road, Marol, Andheri (East), Mumbai - 400 059 Tel.: 022 – 626368200, Fax No.: 62638299. E-mail : investor@bigshareonline.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated :-

Sl. No.	Name and Description of main products / services	NIC Code of the Product / service	% to total turnover of the Company
1	Caustic Soda	20122	35.28
2	Soda Ash	20122	15.75
3	PVC Resin	24134	39.46

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES – NIL

I. SHARE HOLDING PATTERN (EQUITY SHARE CAPITAL BREAK-UP AS PERCENTAGE OF TOTAL EQUITY)

i) Category-wise Share Holding

Category of Shareholder		No. of Shares held at the beginning of the year: 01/04/2018				No. of Shares held at the end of the year : 31/03/2019				% Change
		Demat	Physical	Total Shares	Total %	Demat	Physical	Total Shares	Total %	
(A) Shareholding of Promoter and Promoter Group2										
Indian										
(a)	INDIVIDUAL / HUF	48547990	0	48547990	21.97	48547990	0	48547990	21.97	0.00
(b)	Central / State government(s)	0	0	0	0.00	0	0	0	0.00	0.00
(c)	BODIES CORPORATE	56236740	0	56236740	25.45	56247740	0	56247740	25.45	0.00
(d)	FINANCIAL INSTITUTIONS / BANKS									
(e)	ANY OTHERS (Specify)									
(i)	GROUP COMPANIES	0	0	0	0.00	0	0	0	0.00	0.00
(ii)	TRUSTS	0	0	0	0.00	0	0	0	0.00	0.00
(iii)	DIRECTORS RELATIVES	0	0	0	0.00	0	0	0	0.00	0.00
	SUB TOTAL (A)(1) :	104784730	0	104784730	47.42	104795730	0	104795730	47.42	0.00
Foreign										
(a)	BODIES CORPORATE									
(b)	INDIVIDUAL									
(c)	INSTITUTIONS									
(d)	QUALIFIED FOREIGN INVESTOR									
(e)	ANY OTHERS (Specify)									
	SUB TOTAL (A)(2) :	0	0	0	0.00	0	0	0	0.00	0.00
Total holding for promoters										
	(A) = (A)(1) + (A)(2)	104784730	0	104784730	47.42	104795730	0	104795730	47.42	0.00
(B) Public shareholding										
Institutions										
(a)	Central / State government(s)	0	0	0	0.00	0	0	0	0.00	0.00
(b)	FINANCIAL INSTITUTIONS / BANKS	1060031	12870	1072901	0.49	742104	12870	754974	0.34	(0.14)
(c)	GROUP COMPANIES									
(i)	FOREIGN FINANCIAL INSTITUTIONS / BANKS	0	40865	40865	0.02	0	40865	40865	0.02	0.00
(d)	MUTUAL FUNDS / UTI	10755	10500	21255	0.01	10755	10500	21255	0.01	0.00
(e)	VENTURE CAPITAL FUNDS	0	0	0	0.00	0	0	0	0.00	0.00
(f)	INSURANCE COMPANIES	6679665	0	6679665	3.02	6679665	0	6679665	3.02	0.00
(g)	FII'S	11500	0	11500	0.01	11500	0	11500	0.01	0.00
(h)	FOREIGN VENTURE CAPITAL INVESTORS	0	0	0	0.00	0	0	0	0.00	0.00
(i)	QUALIFIED FOREIGN INVESTOR	0	0	0	0.00	0	0	0	0.00	0.00
(j)	ANY OTHERS (Specify)	0	0	0	0.00	0	0	0	0.00	0.00
(k)	FOREIGN PORTFOLIO INVESTOR	13061509	0	13061509	5.91	14818364	0	14818364	6.71	0.80
(l)	ALTERNATE INVESTMENT FUND									
	SUB TOTAL (B)(1) :	20823460	64235	20887695	9.45	22262388	64235	22326623	10.10	0.65

Category of Shareholder		No. of Shares held at the beginning of the year: 01/04/2018				No. of Shares held at the end of the year : 31/03/2019				% Change
		Demat	Physical	Total Shares	Total %	Demat	Physical	Total Shares	Total %	
Non-institutions										
(a)	BODIES CORPORATE									
		14979954	65375	15045329	6.81	11794836	63945	11858781	5.37	(1.44)
(b)	INDIVIDUAL									
(i)	(CAPITAL UPTO TO ₹ 1 Lakh)	55226510	3526966	58753476	26.58	57008522	3250446	60258968	27.27	0.68
(ii)	(CAPITAL GREATER THAN ₹ 1 Lakh)	18215965	98250	18314215	8.29	18511397	98250	18609647	8.42	0.13
(c)	ANY OTHERS (Specify)									
(i)	TRUSTS	12200	0	12200	0.01	2300	0	2300	0.00	(0.00)
(ii)	CLEARING MEMBER	1505557	0	1505557	0.68	1242193	0	1242193	0.56	(0.12)
(iii)	NON RESIDENT INDIANS (NRI)	71250	22210	93460	0.04	17739	22210	39949	0.02	(0.02)
(iv)	NON RESIDENT INDIANS (REPAT)	768800	0	768800	0.35	764158	0	764158	0.35	(0.00)
(v)	NON RESIDENT INDIANS (NON REPAT)	637777	0	637777	0.29	980908	0	980908	0.44	0.16
(vi)	DIRECTORS RELATIVES	0	0	0	0.00	0	0	0	0.00	0.00
(vii)	EMPLOYEE	0	0	0	0.00	0	0	0	0.00	0.00
(viii)	OVERSEAS BODIES CORPORATES	0	0	0	0.00	0	0	0	0.00	0.00
(ix)	UNCLAIMED SUSPENSE ACCOUNT	0	0	0	0.00	0	0	0	0.00	0.00
(x)	IEPF	0	0	0	0.00	0	0	0	0.00	0.00
(d)	QUALIFIED FOREIGN INVESTOR									
(e)	NBFCs registered with RBI	184168	0	184168	0.08	108150	0	108150	0.05	(0.03)
	SUB TOTAL (B)(2) :	91602181	3712801	95314982	43.13	90430203	3434851	93865054	42.47	(0.66)
Total Public Shareholding										
	(B) = (B)(1) + (B)(2)	112425641	3777036	116202677	52.58	112692591	3499086	116191677	52.58	(0.00)
(C) Shares held by Custodians and against which Depository Receipts have been issued										
	(C) = (C)(1)	0	0	0	0.00	0	0	0	0.00	0.00
	Grand Total (A) + (B) + (C)	217210371	3777036	220987407	100	217488321	3499086	220987407	100	0.00

ii. Shareholding of Promoters

Sl. No.	Shareholder's Name	Shareholding at the beginning of the year				Share Holding at the end of the year				% change in shareholding during the year
		Demat	Physical	Total	% of total shares	Demat	Physical	Total	% of total shares	
1	SAHU BROTHERS PRIVATE LIMITED	52459860	0	52459860	23.74	52459860	0	52459860	23.74	0.00
2	VANDANA JAIN	9467206	0	9467206	4.28	9467206	0	9467206	4.28	0.00
3	VIVEK JAIN	7108911	0	7108911	3.22	7108911	0	7108911	3.22	0.00
4	VIVEK SHASHICHAND JAIN HUF	88020	0	88020	0.04	88020	0	88020	0.04	0.00
5	USHA P JAIN	9433690	0	9433690	4.27	9433690	0	9433690	4.27	0.00
6	ASHISH JAIN	3611318	0	3611318	1.64	3611318	0	3611318	1.64	0.00
7	MUDIT JAIN	1301498	0	1301498	0.59	1301498	0	1301498	0.59	0.00
8	PRAMOD KUMAR JAIN	1196500	0	1196500	0.54	1196500	0	1196500	0.54	0.00
9	DURGAVATI JAIN	3241991	0	3241991	1.47	2541991	0	2541991	1.15	-0.32
10	PREMCHAND JAIN	1920540	0	1920540	0.87	0	0	0	0	0.87
11	NAMITA P JAIN	1361945	0	1361945	0.62	1361945	0	1361945	0.62	0.00
12	M/S DHRANGADHRA TRADING CO PVT LTD	1280500	0	1280500	0.58	1280500	0	1280500	0.58	0.00
13	SAATVIK JAIN	1366018	0	1366018	0.62	1366018	0	1366018	0.62	0.00
14	VARSHA JAIN	1004579	0	1004579	0.45	1004579	0	1004579	0.45	0.00
15	CRESCENT HOLDINGS AND ENTERPRISES PVT LTD	1137000	0	1137000	0.52	0	0	0	0.00	0.52
16	SAHU CYLINDERS & UDYOG PVT LTD	1103250	0	1103250	0.50	1103250	0	1103250	0.50	0.00
17	BAKUL JAIN	2921972	0	2921972	1.32	1263332	0	1263332	0.57	-0.75
18	BAKUL JAIN HUF	170820	0	170820	0.08	0	0	0	0.00	0.08
19	SONALIKA JAIN	1050100	0	1050100	0.48	1050100	0	1050100	0.48	0.00
20	MEETA JAIN	878720	0	878720	0.40	878720	0	878720	0.40	0.00
21	PAULOMI BAKUL JAIN	480085	0	480085	0.22	4930085	0	4930085	2.23	2.01
22	NEERA JAIN	481	0	481	0.00	481	0	481	0.00	0.00
23	SATYAWATI GIANCHAND JAIN	109000	0	109000	0.05	109000	0	109000	0.05	0.00
24	FLORIDA HOLDINGS AND TRADING PVT LTD	185450	0	185450	0.08	1322450	0	1322450	0.60	0.52
25	RIMA SAATVIK JAIN	11	0	11	0.00	11	0	11	0.00	0.00
26	MALTI BHINDI	50000	0	50000	0.02	50000	0	50000	0.02	0.00
27	KALPATARU BOTANICAL GARDENS PRIVATE LIMITED	22500	0	22500	0.01	22500	0	22500	0.01	0.00
28	CASHCO HOLDINGS PRIVATE LIMITED	17750	0	17750	0.01	17750	0	17750	0.01	0.00
29	B J HOLDINGS PRIVATE LIMITED	16000	0	16000	0.01	16000	0	16000	0.01	0.00
30	D P B HOLDINGS PRIVATE LIMITED	13500	0	13500	0.01	13500	0	13500	0.01	0.00
31	SHIVANTIKA JAIN	4835	0	4835	0.00	4835	0	4835	0.00	0.00
32	CANVAS SHOE CO. (GOA) PRIVATE LTD.	830	0	830	0.00	830	0	830	0.00	0.00
33	VIKRANT HOLDINGS AND TRADING PVT LTD	100	0	100	0.00	100	0	100	0.00	0.00
34	PRAMOD KUMAR JAIN TRUST BB	1700000	0	1700000	0.77	1700000	0	1700000	0.77	0.00
35	ASHISH JAIN HUF	79750	0	79750	0.04	79750	0	79750	0.04	0.00
36	KISHCO PRIVATE LIMITED	0	0	0	0	11000	0	11000	0.00	0.00
		104784730		104784730	47.42	104784730		104784730	47.42	0.01

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

Sl. No.	Name of the Promoter	At the beginning of the year date wise Increase/ Decrease in Promoters share holding during the year specifying the reasons for increase/ decrease (e.g. allotment / transfer / bonus / sweat equity etc. At the end of the year					Cumulative Shareholding during & at end of the year	
		Beginning of the year	% of total shares of the Company	Increase/ Decrease	Date	Reason	No. of share	% of total shares of the Company
1	BAKUL JAIN HUF	170820	0.0773	(170820)	24-08-2018	Partition of HUF	0	0
2	BAKUL JAIN	960447	0.4346	2091360	24-08-2018	Received from HUF in Partition	3051807	1.38
				(3051807)	02-11-2018	Gift	0	0.00
				700000	28-12-2018	Gift	700000	0.32
				(700000)	31-12-2018	Gift	0	0.00
	BAKUL JAIN	1961525	0.89	(698193)	31-12-2018	Gift	1263332	0.57
3	DURGAVATI JAIN	1128832	0.51	(700000)	28-12-2018	Gift	428832	0.19
4	KISHCO PRIVATE LIMITED	0.00	0.00	1000	06-04-2018	Buy	1000	0.00
				10000	08-06-2018	Buy	11000	0.01
5	PAULOMI BAKUL JAIN	0.00	0.00	1000000	02-11-2018	Gift	1000000	0.45
				150000	31-12-2018	Gift	1150000	0.52
	PAULOMI BAKUL JAIN			1000000	02-11-2018	Gift	1000000	0.45
				150000	31-12-2018	Gift	1150000	0.52
	PAULOMI BAKUL JAIN			51807	02-11-2018	Gift	51807	0.023
				948193	31-12-2018	Gift	1000000	0.45
6	PREMCHAND JAIN	1920540	0.87	1920540	24-08-2018	Partition of HUF	0	0.00

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sl. No.	Name	Shareholding at the beginning of the year		Cumulative Shareholding end of the year	
		No. of share	% of total shares of the Company	No. of share	% of total shares of the Company
1	APMS INVESTMENT FUND LTD	9,805,000	4.46	9,805,000	4.44
2	LIFE INSURANCE CORPORATION OF INDIA	6,679,665	3.04	6,679,665	3.02
3	LOTUS GLOBAL INVESTMENTS LTD	3,047,877	1.38	5013364	2.27
4	GNANESH VIRENDRA LAKHIA	1393836	0.63	1456440	0.66
5	RAJENDRA KUMAR HIRAWAT	1411348	0.64	1436348	0.65
6	PREM LATA HIRAWAT	974,133	0.44	974,133	0.44
7	FOUR DIMENSIONS SECURITIES (INDIA) LIMITED	770,000	0.35	970000	0.44
8	IL AND FS SECURITIES SERVICES LIMITED	918870	0.42	782442	0.35
9	MUKUL MAHAVIR PRASAD AGRAWAL	900000	0.41	0	0
10	INDIANIVESH SECURITIES LIMITED	719054	0.33	18210	0.01

(V) Shareholding of Directors and Key Managerial Personnel :

Sl. No.	Name of the Director and Key Managerial Person	At the beginning of the year date wise Increase/ Decrease in Promoters share holding during the year specifying the reasons for increase/ decrease (e.g. allotment / transfer / bonus / sweat equity etc. At the end of the year					Cumulative Shareholding during the year	
		No. of share at the beginning of the year	% of total shares of the Company	Increase/ Decrease	Date	Reason	No. of share	% of total shares of the Company
1	Shri Pramodkumar Jain	1,196,500	0.54	NA	NA	NA	1,196,500	0.54
2	Shri Bakul Jain	2,921,972	1.03	(1658640)	As mentioned in Point (iii)	As mentioned in Point (iii)	1263332	0.57
3	Shri Mudit Jain	1,301,498	0.59	NA	NA	NA	1,301,498	0.59
4	Shri Vivek Jain	6891511	3.12	NA	NA	NA	6891511	3.12
5	Shri Pradip Madhavji Resigned w.e.f 31/03/2019	0	0	0	NA	NA	0	0.00
6	Shri. D. Ganapathy Resigned w.e.f 07/05/2018	0	0	0	NA	NA	0	0.00
7	Smt. Sujata Ragnekar	0	0	0	NA	NA	0	0.00
8	Shri Salil Kapoor	0	0	0	NA	NA	0	0.00
9	Shri Krishnamoorthy Krishnan Appointed w.e.f. 22/05/2018	0	0	0	NA	NA	0	0.00
10	Shri Vimal Jain	0	0	0	NA	NA	0	0.00
11	Smt. Jigna Karnick	0	0	0	NA	NA	0	0.00

VI INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

(in lakhs)

	Secured Loans excluding deposits	Unsecured loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	75,543.18	7,518.66	–	83,061.84
ii) Interest due but not paid	-	-	–	-
iii) Interest accrued but not due	623.91	-	–	623.91
Total (i + ii + iii)	76,167.09	7,518.66	–	83,685.75
Change in Indebtedness during the financial year				
- Addition	5,958.23	9,921.75	–	12,357.78
- Reduction	25,443.29	3,661.77	–	25,582.86
Net Change	(19,485.06)	6,259.98	–	(13,225.08)
Indebtedness at the end of the financial year				
i) Principal Amount	56,058.11	13,778.64	–	69,836.75
ii) Interest due but not paid	-	-	–	-
iii) Interest accrued but not due	1,512.81	-	–	1,512.81
Total (i + ii + iii)	57,570.92	13,778.64	–	71,349.56

VII. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL-

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sl. No.	Particulars of Remuneration	Name of MD/WTD/ Manager				Total Amount
		Shri. Pramod Kumar Jain	Shri. Bakul Jain	Shri. Vivek Jain	Shri. Mudit Jain	
1	Gross salary					
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	Nil	Nil	Nil	Nil	Nil
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Nil	Nil	Nil	Nil	Nil
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	Nil	Nil	Nil	Nil	Nil
2	Stock Option	Nil	Nil	Nil	Nil	Nil
3	Sweat Equity	Nil	Nil	Nil	Nil	Nil
4	Commission	Nil	Nil	Nil	Nil	Nil
	- as % of profit	Nil	Nil	Nil	Nil	Nil
	- others, specify...	Nil	Nil	Nil	Nil	Nil
5	Others, please specify	Nil	Nil	Nil	Nil	Nil
	Total (A)	Nil	Nil	Nil	Nil	Nil
	Ceiling as per the Act	Nil	Nil	Nil	Nil	Nil

B. Remuneration to other directors

(in Rupees)

Sl. No.	Particulars of Remuneration	Name of Directors					Total Amount
		Shri Krishna Moorthy Krishnan	Shri. D Ganapathy	Smt. Sujata Rangnekar	Shri. Salil Kapoor	Shri. Pradip Madhavji	
1	Independent Directors						
	Fee for attending board committee meetings	85,000	10,000	85,000	10,000	1,00,000	2,90,000
	Commission	-	-	-	-	-	-
	Others, please specify	-	-	-	-	-	-
	Total (1)	85,000	10,000	85,000	10,000	1,00,000	2,90,000
2	Other Non-Executive Directors	-	-	-	-	-	-
	Fee for attending board committee meetings	-	-	-	-	-	-
	Commission	-	-	-	-	-	-
	Others, please specify	-	-	-	-	-	-
	Total (2)	-	-	-	-	-	-
	Total (B) = (1 + 2)	85,000	10,000	85,000	10,000	1,00,000	2,90,000
	Total Managerial	85,000	10,000	85,000	10,000	1,00,000	2,90,000
	Remuneration						
	Overall Ceiling as per the Act						

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

(in Rupees)

Sl. No.	Particulars of Remuneration	Key Managerial Personnel			
		CEO	CS	CFO	Total
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	1,121,940	4,675,896	57,97,836
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	5,90,183	5,90,183
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-	-
2	Stock Option	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission	-	-	-	-
	- as % of profit	-	-	-	-
	others, specify...	-	-	-	-
5	Others, please specify	-	-	-	-
	Total	-	1,121,940	52,66,079	63,88,019

VIII PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority (RD/NCLT/ Court)	Appeal made if any (give details)
A. COMPANY					
Penalty		During the year, NSE and BSE imposed a fine of ₹ 5000/- each for non-compliance of the requirement of Regulation 33 of the Listing Regulations. Apart from the said penalty there are no instances of non-compliance for which penalty is imposed on the Company by Securities Exchange Board of India or Registrar of Companies			
Punishment					
Compounding					
B. DIRECTORS					
Penalty			NIL		
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty			NIL		
Punishment					
Compounding					